

SOME REMARKS TO THE JACOBIAN CONJECTURE

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Abstract. This work is related to the Jacobian Conjecture. It contains the formulas concerning algebraic dependence of the polynomial mappings having two zeros at infinity and the constant Jacobian. These relations mean that such mappings are non-invertible. They reduce the Jacobian Conjecture only to the case of mappings having one zero at infinity. This case is already solved by Abhyankar. The formulas presented in the paper were illustrated by the large example.

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1. Introduction

In the paper [1], Abhyankar proved that the polynomial mapping $F : \mathbf{C}^2 \rightarrow \mathbf{C}^2$ with a constant non-zero Jacobian has at most two zeros at infinity. This result was generalized in the paper [2]. Moreover, in the same paper [1], Abhyankar also proved that the Jacobian Conjecture [3-7] holds if and only if from the assumption that $Jac F = 1$ it follows that the mapping F not has two zeros at infinity.

It is not difficult to indicate an infinite family of polynomial non-invertible mapping having two zeros at infinity. These examples can be generalized. Therefore, in this work, we indicate explicit formulas that give the algebraic dependence of coordinates of polynomial mapping when its Jacobian is constant. We consider two groups of mappings, depending on the form of the leading forms of these mappings. Therefore these formulas adjudicate that there are no polynomial invertible mappings having two zeros at infinity.

2. Algebraic dependence of polynomial mappings

Let f_i, h_j be the complex forms of variables X, Y of degrees i, j respectively and $i, j \geq 1$.

Remark 1. *Let*

$$f = (XY)^p + f_{2p-1} + f_{2p-2} + f_{2p-3} + \dots + f_1 \quad (1)$$

and

$$h = (XY)^q + h_{2q-1} + h_{2q-2} + h_{2q-3} + \dots + h_1 \quad (2)$$

where $p \geq q \geq 1$.

If $\text{Jac}(f, h) = \text{const} = \text{Jac}(f_1, h_1)$ then

$$f = \left(XY + \frac{1}{q} h_{2q-1|1} \right)^p + A_{p-1} \left(XY + \frac{1}{q} h_{2q-1|1} \right)^{p-1} + \dots + A_1 \left(XY + \frac{1}{q} h_{2q-1|1} \right) \quad (3)$$

and

$$h = \left(XY + \frac{1}{q} h_{2q-1|1} \right)^q + B_{q-1} \left(XY + \frac{1}{q} h_{2q-1|1} \right)^{q-1} + \dots + B_1 \left(XY + \frac{1}{q} h_{2q-1|1} \right) \quad (4)$$

for some constants A_1, \dots, A_{p-1} , B_1, \dots, B_{q-1} . The form $h_{2q-1|1}$ is defined by the formula $h_{2q-1|1} = X^{k-1} Y^{k-1} h_{2q-1|1}$.

Remark 2. *Let*

$$f = (X^k Y^l)^p + f_{(k+l)p-1} + f_{(k+l)p-2} + \dots + f_{(k+l)(p-1)+1} + \dots + f_1 \quad (5)$$

and

$$h = (X^k Y^l)^q + h_{(k+l)q-1} + h_{(k+l)q-2} + \dots + h_{(k+l)(q-1)+1} + \dots + h_1 \quad (6)$$

where $k > l$ (k and l are relatively prim) and $p \geq q \geq 1$.

If $\text{Jac}(f, h) = \text{const} = \text{Jac}(f_1, h_1)$ then exist the forms $\hat{h}_{k+l-2}, \dots, \hat{h}_1$ for which

$$\begin{aligned} f = & \left(X^k Y^l + \frac{1}{q} h_{(k+l)q-1|k+l-1} + \frac{1}{q} \hat{h}_{k+l-2} + \dots + \frac{1}{q} \hat{h}_1 \right)^p + \\ & + A_{p-1} \left(X^k Y^l + \frac{1}{q} h_{(k+l)q-1|k+l-1} + \frac{1}{q} \hat{h}_{k+l-2} + \dots + \frac{1}{q} \hat{h}_1 \right)^{p-1} + \dots + \\ & + A_1 \left(X^k Y^l + \frac{1}{q} h_{(k+l)q-1|k+l-1} + \frac{1}{q} \hat{h}_{k+l-2} + \dots + \frac{1}{q} \hat{h}_1 \right) \end{aligned} \quad (7)$$

and

$$\begin{aligned}
h = & \left(X^k Y^l + \frac{1}{q} h_{(k+l)q-1|k+l-1} + \frac{1}{q} \hat{h}_{k+l-2} + \dots + \frac{1}{q} \hat{h}_1 \right)^q + \\
& + B_{q-1} \left(X^k Y^l + \frac{1}{q} h_{(k+l)q-1|k+l-1} + \frac{1}{q} \hat{h}_{k+l-2} + \dots + \frac{1}{q} \hat{h}_1 \right)^{q-1} + \dots + \\
& + B_1 \left(X^k Y^l + \frac{1}{q} h_{(k+l)q-1|k+l-1} + \frac{1}{q} \hat{h}_{k+l-2} + \dots + \frac{1}{q} \hat{h}_1 \right)
\end{aligned} \tag{8}$$

for some constants $A_1, \dots, A_{p-1}, B_1, \dots, B_{q-1}$. The form $h_{(k+l)q-1|k+l-1}$ of degree $k+l-1$ is defined by the formula $h_{(k+l)q-1} = (X^k Y^l)^{q-1} h_{(k+l)q-1|k+l-1}$.

The authors try to place the proofs of the above hypotheses in the next article.

Corollary. Obviously, in all of these possible cases, the polynomials f, h are algebraically dependent and so $\text{Jac}(f, h) = 0$.

The following example is the illustration of remark 2.

Example. Let

$$f = (X^2 Y)^3 + f_8^{(1)} + f_7^{(2)} + f_6^{(3)} + f_5^{(4)} + f_4^{(5)} + f_3^{(6)} + f_2^{(7)} + f_1^{(8)} \tag{9}$$

$$h = (X^2 Y)^2 + h_5^{(1)} + h_4^{(2)} + h_3^{(3)} + h_2^{(4)} + h_1^{(5)} + 0^{(6)} + 0^{(7)} + 0^{(8)} \tag{10}$$

Since the Jacobian is constant we have consecutively

$$1) \text{ Jac}\left((X^2 Y)^3, h_5\right) = \text{Jac}\left((X^2 Y)^2, f_8\right) \tag{11}$$

$$3(X^2 Y)^2 \text{ Jac}(X^2 Y, h_5) = 2X^2 Y \text{ Jac}(X^2 Y, f_8) \tag{12}$$

so

$$\frac{3}{2} X^2 Y \text{ Jac}(X^2 Y, h_5) = \text{Jac}(X^2 Y, f_8) \tag{13}$$

and appears

$$\frac{3}{2} X^2 Y h_5 = f_8 \tag{14}$$

$$2) \quad \text{Jac}\left(\left(X^2 Y\right)^3, h_4\right) + \underbrace{\text{Jac}\left(f_8, h_5\right)}_{1^\circ} = \text{Jac}\left(\left(X^2 Y\right)^2, f_7\right) \quad (15)$$

where

$$1^\circ = \underbrace{\text{Jac}\left(f_8, h_5\right)}_{1^\circ} = \text{Jac}\left(\frac{3}{2} X^2 Y h_5, h_5\right) = \frac{3}{2} h_5 \text{Jac}\left(X^2 Y, h_5\right) \quad (16)$$

therefore

$$3\left(X^2 Y\right)^2 \text{Jac}\left(X^2 Y, h_4\right) + \frac{3}{2} h_5 \text{Jac}\left(X^2 Y, h_5\right) = 2X^2 Y \text{Jac}\left(X^2 Y, f_7\right) \quad (17)$$

and

$$3\left(X^2 Y\right)^2 h_4 + \frac{3}{4} h_5^2 = 2X^2 Y f_7 \quad (18)$$

Thus $X^2 Y$ divides h_5^2 . We assume further that

$$h_5 = X^2 Y h_{5|2} \quad (19)$$

which implies

$$f_8 = \frac{3}{2} \left(X^2 Y\right)^2 h_{5|2} \quad (20)$$

returning to equality (18) we obtain

$$3\left(X^2 Y\right)^2 h_4 + \frac{3}{4} \left(X^2 Y\right)^2 h_{5|2}^2 = 2X^2 Y f_7 \quad (21)$$

and occurs

$$\frac{3}{2} X^2 Y h_4 + \frac{3}{8} X^2 Y h_{5|2}^2 = f_7 \quad (22)$$

$$3) \quad \text{Jac}\left(\left(X^2 Y\right)^3, h_3\right) + \underbrace{\text{Jac}\left(f_8, h_4\right)}_{1^\circ} + \underbrace{\text{Jac}\left(f_7, h_5\right)}_{2^\circ} = \text{Jac}\left(\left(X^2 Y\right)^3, f_6\right) \quad (23)$$

where

$$\begin{aligned}
1^\circ &= \text{Jac}(f_8, h_4) = \text{Jac}\left(\frac{3}{2}(X^2 Y)^2 h_{5|2}, h_4\right) = \frac{3}{2} \text{Jac}\left((X^2 Y)^2 h_{5|2}, h_4\right) = \\
&= \frac{3}{2} \left((X^2 Y)^2 \text{Jac}(h_{5|2}, h_4) + h_{5|2} \text{Jac}\left((X^2 Y)^2, h_4\right) \right) = \\
&= \frac{3}{2} (X^2 Y)^2 \text{Jac}(h_{5|2}, h_4) + 3X^2 Y h_{5|2} \text{Jac}(X^2 Y, h_4)
\end{aligned} \tag{24}$$

and

$$\begin{aligned}
2^\circ &= \text{Jac}(f_7, h_5) = \text{Jac}\left(\frac{3}{2} X^2 Y h_4 + \frac{3}{8} X^2 Y h_{5|2}^2, X^2 Y h_{5|2}\right) = \\
&= \frac{3}{2} \text{Jac}(X^2 Y h_4, X^2 Y h_{5|2}) + \frac{3}{8} \text{Jac}(X^2 Y h_{5|2}^2, X^2 Y h_{5|2}) = \\
&= \frac{3}{2} \left(X^2 Y h_4 \text{Jac}(X^2 Y, h_{5|2}) - X^2 Y h_{5|2} \text{Jac}(X^2 Y, h_4) + (X^2 Y)^2 \text{Jac}(h_4, h_{5|2}) \right) \\
&+ \frac{3}{8} X^2 Y h_{5|2} \text{Jac}(h_{5|2}, X^2 Y h_{5|2}) = \\
&= \frac{3}{2} X^2 Y h_4 \text{Jac}(X^2 Y, h_{5|2}) - \frac{3}{2} X^2 Y h_{5|2} \text{Jac}(X^2 Y, h_4) \\
&+ \frac{3}{2} (X^2 Y)^2 \text{Jac}(h_4, h_{5|2}) - \frac{3}{8} X^2 Y h_{5|2}^2 \text{Jac}(X^2 Y, h_{5|2})
\end{aligned} \tag{25}$$

Of the equation (29) results

$$\begin{aligned}
&3(X^2 Y)^2 \text{Jac}(X^2 Y, h_3) + \frac{3}{2} X^2 Y \text{Jac}(X^2 Y, h_{5|2} h_4) \\
&+ \frac{3}{8} X^2 Y h_{5|2}^2 \text{Jac}(X^2 Y, h_{5|2})
\end{aligned} \tag{26}$$

so

$$3(X^2 Y)^2 h_3 + \frac{3}{2} X^2 Y h_{5|2} h_4 + \frac{1}{8} X^2 Y h_{5|2}^3 + 2a_6 (X^2 Y)^3 = 2X^2 Y f_6 \tag{27}$$

and finally

$$\frac{3}{2} X^2 Y h_3 + \frac{3}{4} h_{5|2} h_4 + \frac{1}{16} h_{5|2}^3 + a_6 (X^2 Y)^2 = f_6 \tag{28}$$

$$4) \text{Jac}\left((X^2 Y)^3, h_2\right) + \underbrace{\text{Jac}(f_8, h_3)}_{1^\circ} + \underbrace{\text{Jac}(f_7, h_4)}_{2^\circ} + \underbrace{\text{Jac}(f_6, h_5)}_{3^\circ} = \text{Jac}\left((X^2 Y)^3, f_5\right) \tag{29}$$

Now we have

$$\begin{aligned}
1^\circ &= \text{Jac}(f_8, h_3) = \text{Jac}\left(\frac{3}{2}(X^2 Y)^2 h_{s|2}, h_3\right) = \frac{3}{2} \text{Jac}\left((X^2 Y)^2 h_{s|2}, h_3\right) = \\
&= \frac{3}{2} \left((X^2 Y)^2 \text{Jac}(h_{s|2}, h_3) + h_{s|2} \text{Jac}\left((X^2 Y)^2, h_3\right) \right) = \\
&= \frac{3}{2} (X^2 Y)^2 \text{Jac}(h_{s|2}, h_3) + 3X^2 Y h_{s|2} \text{Jac}(X^2 Y, h_3)
\end{aligned} \tag{30}$$

Next

$$\begin{aligned}
2^\circ &= \text{Jac}(f_7, h_4) = \text{Jac}\left(\frac{3}{2} X^2 Y h_4 + \frac{3}{8} X^2 Y h_{s|2}^2, h_4\right) = \\
&= \frac{3}{2} \text{Jac}(X^2 Y h_4, h_4) + \frac{3}{8} \text{Jac}(X^2 Y h_{s|2}^2, h_4) = \\
&= \frac{3}{2} h_4 X^2 Y \text{Jac}(X^2 Y, h_4) + \frac{3}{8} \left(X^2 Y \text{Jac}(h_{s|2}^2, h_4) + h_{s|2}^2 \text{Jac}(X^2 Y, h_4) \right) = \\
&= \frac{3}{2} h_4 X^2 Y \text{Jac}(X^2 Y, h_4) + \frac{3}{4} X^2 Y h_{s|2} \text{Jac}(h_{s|2}, h_4) + \frac{3}{8} h_{s|2}^2 \text{Jac}(X^2 Y, h_4)
\end{aligned} \tag{31}$$

and

$$\begin{aligned}
3^\circ &= \text{Jac}(f_6, h_5) = \text{Jac}\left(\frac{3}{2} X^2 Y h_3 + \frac{3}{4} h_{s|2} h_4 + \frac{1}{16} h_{s|2}^3 h_4 + a_6 (X^2 Y)^2, X^2 Y h_{s|2}\right) = \\
&= \frac{3}{2} \text{Jac}(X^2 Y h_3, X^2 Y h_{s|2}) - \frac{3}{4} \text{Jac}(X^2 Y h_{s|2}, h_{s|2} h_4) - \frac{1}{16} \text{Jac}(X^2 Y h_{s|2}, h_{s|2}^3) \\
&+ 2a_6 (X^2 Y)^2 \text{Jac}(X^2 Y, h_{s|2}) = \\
&= \frac{3}{2} \left(X^2 Y h_3 \text{Jac}(X^2 Y, h_{s|2}) - X^2 Y h_{s|2} \text{Jac}(X^2 Y, h_3) + (X^2 Y)^2 \text{Jac}(X^2 Y h_3, h_{s|2}) \right) \\
&- \frac{3}{4} \left(X^2 Y \text{Jac}(h_{s|2}, h_{s|2} h_4) + h_{s|2} \text{Jac}(X^2 Y, h_{s|2} h_4) \right) \\
&- \frac{3}{16} h_{s|2}^2 \text{Jac}(X^2 Y h_{s|2}, h_{s|2}) + 2a_6 (X^2 Y)^2 \text{Jac}(X^2 Y, h_{s|2}) = \\
&= \frac{3}{2} X^2 Y h_3 \text{Jac}(X^2 Y, h_{s|2}) - \frac{3}{2} X^2 Y h_{s|2} \text{Jac}(X^2 Y, h_3) \\
&+ \frac{3}{2} (X^2 Y)^2 \text{Jac}(X^2 Y h_3, h_{s|2}) - \frac{3}{4} X^2 Y h_{s|2} \text{Jac}(h_{s|2}, h_4) \\
&- \frac{3}{4} h_{s|2} \left(h_{s|2} \text{Jac}(X^2 Y, h_4) + h_4 \text{Jac}(X^2 Y, h_{s|2}) \right) \\
&- \frac{3}{16} h_{s|2}^3 \text{Jac}(X^2 Y, h_{s|2}) + 2a_6 (X^2 Y)^2 \text{Jac}(X^2 Y, h_{s|2})
\end{aligned} \tag{32}$$

Of the equation (29) results

$$\begin{aligned} & 3(X^2Y)^2 \text{Jac}(X^2Y, h_2) + \frac{3}{2}X^2Y \text{Jac}(X^2Y, h_{s|2}h_3) + \frac{3}{2}h_4 \text{Jac}(X^2Y, h_4) \\ & - \frac{3}{8}h_{s|2}^2 \text{Jac}(X^2Y, h_4) - \frac{3}{4}h_{s|2}h_4 \text{Jac}(X^2Y, h_{s|2}) + \frac{3}{16}h_{s|2}^3 \text{Jac}(X^2Y, h_{s|2}) \quad (33) \\ & + 2a_6(X^2Y)^2 \text{Jac}(X^2Y, h_{s|2}) = 2X^2Y \text{Jac}(X^2Y, f_5) \end{aligned}$$

hence

$$\begin{aligned} & 3(X^2Y)^2 h_2 + \frac{3}{2}X^2Y h_{s|2}h_3 + \frac{3}{4}h_4^2 - \frac{3}{8}h_{s|2}^2 h_4 + \frac{3}{16 \cdot 4}h_{s|2}^4 + 2a_6(X^2Y)^2 h_{s|2} = \quad (34) \\ & = 2X^2Y f_5 \end{aligned}$$

and

$$3(X^2Y)^2 h_2 + \frac{3}{2}X^2Y h_{s|2}h_3 + \frac{3}{4}\left(h_4 - \frac{1}{4}h_{s|2}^2\right)^2 + 2a_6(X^2Y)^2 h_{s|2} = 2X^2Y f_5 \quad (35)$$

Thus X^2Y divides $\left(h_4 - \frac{1}{4}h_{s|2}^2\right)^2$. We assume again that

$$h_4 - \frac{1}{4}h_{s|2}^2 = X^2Y \hat{h}_1 \quad (36)$$

Therefore

$$h_4 = \frac{1}{4}h_{s|2}^2 + X^2Y \hat{h}_1 \quad (37)$$

From the equation (35) we obtain

$$3X^2Y h_2 + \frac{3}{4}h_{s|2}h_3 + \frac{3}{8}X^2Y \hat{h}_1^2 + a_6 X^2Y h_{s|2} = f_5 \quad (38)$$

The equality (36) defines the function \hat{h}_1 which appears in remark 3. This means that the polynomials f and h will be the form

$$f = \left(X^2Y + \frac{1}{2}h_{s|2} + \frac{1}{2}\hat{h}_1\right)^3 + A_2 \left(X^2Y + \frac{1}{2}h_{s|2} + \frac{1}{2}\hat{h}_1\right)^2 + A_1 \left(X^2Y + \frac{1}{2}h_{s|2} + \frac{1}{2}\hat{h}_1\right) \quad (39)$$

and

$$h = \left(X^2Y + \frac{1}{2}h_{s|2} + \frac{1}{2}\hat{h}_1\right)^2 + B_1 \left(X^2Y + \frac{1}{2}h_{s|2} + \frac{1}{2}\hat{h}_1\right) \quad (40)$$

Consequently

$$h_3 = \frac{1}{2} h_{s|2} \hat{h}_1 + B_1 X^2 Y \quad (41)$$

$$h_2 = \frac{1}{4} \hat{h}_1^2 + \frac{1}{2} B_1 h_{s|2} \quad (42)$$

and

$$h_1 = \frac{1}{2} B_1 \hat{h}_1 \quad (43)$$

Similarly

$$f_7 = \frac{3}{4} X^2 Y h_{s|2}^2 + \frac{3}{2} (X^2 Y)^2 \hat{h}_1 \quad (44)$$

$$f_6 = \frac{1}{8} h_{s|2}^3 + \frac{3}{2} X^2 Y h_{s|2} \hat{h}_1 + A_2 (X^2 Y)^2 \quad (45)$$

$$f_5 = \frac{3}{4} X^2 Y \hat{h}_1^2 + \frac{3}{8} h_{s|2}^2 \hat{h}_1 + A_2 X^2 Y h_{s|2} \quad (46)$$

$$f_4 = \frac{3}{8} h_{s|2} \hat{h}_1^2 + A_2 X^2 Y \hat{h}_1 + \frac{1}{4} A_2 h_{s|2}^2 \quad (47)$$

$$f_3 = \frac{1}{8} \hat{h}_1^3 + \frac{1}{2} A_2 h_{s|2} \hat{h}_1 + A_1 X^2 Y \quad (48)$$

$$f_2 = \frac{1}{4} A_2 \hat{h}_1^2 + \frac{1}{2} A_1 h_{s|2} \quad (49)$$

and

$$f_1 = \frac{1}{2} A_1 \hat{h}_1 \quad (50)$$

3. Conclusions

These hypotheses, tested by many examples, allow one to state that the polynomial mapping $(f, h): \mathbb{C}^2 \rightarrow \mathbb{C}^2$ having two zeros at infinity are non-invertible

(in the global sense). Therefore it remains necessary to analyze only the case when the mapping (f, h) has only one zero at infinity and thus takes the form

$$f = X^p + f_{p-1} + f_{p-2} + \dots + f_1 \quad (51)$$

and

$$h = X^q + h_{q-1} + h_{q-2} + \dots + h_1 \quad (52)$$

where f_i, h_j are the forms (of two complex variables) of degrees i, j respectively.

We show that there are non-trivial class of mappings having one zero at infinity with the constant Jacobian, for which that Jacobian vanishes. It appears, therefore, that in the general case, the polynomial mapping having one zero at infinity and the constant Jacobian, must make the Jacobian vanish. This would mean that the Jacobian Conjecture takes place only in the case, when (cf. [1])

$$f = A_0 h^n + A_1 h^{n-1} + \dots + A_{n-1} h + a_1 X, \quad n \geq 1, \quad A_0 \neq 0, \quad a_1 \neq 0 \quad (53)$$

and

$$h = B_0 X^q + B_1 X^{q-1} + \dots + B_{q-2} X^2 + h_1, \quad q \geq 2, \quad B_0 \neq 0, \quad \frac{\partial h_1}{\partial Y} \neq 0 \quad (54)$$

and also in the simplest case

$$f = A_0 X^n + A_1 X^{n-1} + \dots + A_{n-2} X^2 + A_{n-1} X - cY, \quad n \geq 2, \quad A_0 \neq 0, \quad c \neq 0 \quad (55)$$

and

$$h = B_0 X, \quad B_0 \neq 0 \quad (56)$$

The polynomials f, h have in each case one zero at infinity and do not have the constant components as well as $\deg f \geq \deg h$.

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